

Osler, Hoskin & Harcourt LLP  
Box 50, 1 First Canadian Place  
Toronto, Ontario, Canada M5X 1G8  
416.362.2111 MAIN  
416.862.6666 FACSIMILE

OSLER

Toronto

Montréal

Calgary

Ottawa

New York

Jarmila Pencikova, CPA, CMA  
Direct Dial: (416) 862-5891  
jpencikova@osler.com

March 21, 2017

**SENT BY COURIER**

**CONFIDENTIAL**

Josephine Wong  
Canadian Lawyers Liability Assurance Society  
Office of the General Manager  
36 Toronto Street  
Suite 510  
Toronto, Ontario  
M5C 2C5

Dear Josephine:

**CLLAS Renewal Application - July 1st, 2017**

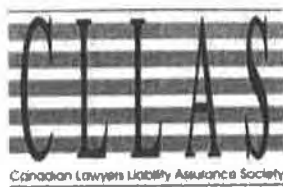
Enclosed is the 2017/2018 CLLAS Renewal Application for Excess Professional Liability Insurance.

Please don't hesitate to call me or David Morritt (416-862-6723) if you have any questions.

Yours very truly,



Jarmila Pencikova, CPA, CMA  
Director, Finance  
JP:  
Enclosures



Canadian Lawyers Liability Assurance Society

2017/2018 Renewal Application for  
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

**Note:** *The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer ALL questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Osler, Hoskin & Harcourt LLP
2. Address of principal office: PO Box 50, First Canadian Place, 100 King St.W., Suite 6200  
Toronto, ON M5X 1B8  
Phone: ( 416 ) 362-2111 Fax: ( 416 ) 862-6666
3. Address, phone and fax numbers of other office(s):  
see attached
4. Management or service companies, date(s) established and services provided:  
Carthos Services LP, established on November 10, 1995, providing admin support  
to the law firm of Osler, Hoskin & Harcourt LLP in Canada
5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

- 
6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

N/A

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7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes      ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2017:

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

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9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>	<u>Last Year</u>
a) Corporate and Commercial Law	52.77 %	45.48 %
b) Criminal Law	0.00 %	0.00 %
c) Family Law	0.00 %	0.00 %
d) Intellectual Property	4.52 %	4.27 %
e) Labour Law	1.68 %	1.29 %
f) Litigation	16.90 %	24.26 %
g) Real Estate	2.64 %	4.17 %
h) Securities Law	5.41 %	6.18 %
i) Tax Matters	14.32 %	12.43 %
j) Wills, Estates, Trust	0.16 %	0.15 %
k) Other (please specify)	1.60 %	1.77 %
Pension & Benefits, Translation, Research		

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

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11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2016. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **However, updates thus reported are not considered official notice of claim to CLLAS.**

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

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14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes      ☐ no

If "yes", please indicate preferred limit option:

☐ \$10M xs \$160M      ☐ \$20M xs \$160M  
☐ \$30M xs \$160M      ☐ \$40M xs \$160M  
☐ \$50M xs \$160M      ☒ \$60M xs \$160M

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach as Appendix I copy of the Firm's 2017 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: \_\_\_\_\_



(Must be signed by a Partner of the Firm)

Name of Signatory: David Morritt

(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: March 20, 2017

**OSLER HOSKIN & HARCOURT LLP**  
**LIST OF OFFICES**  
**AS OF MARCH 1, 2016**

- 1    **Toronto**  
100 King Street West, Suite 6200  
1 First Canadian Place, P.O. Box 50  
Toronto, Ontario M5X 1B8
  
- 2    **Ottawa**  
340 Albert Street  
Suite 1900  
Ottawa, Ontario K1R 7Y6
  
- 3    **Montréal**  
1000 de la Gauchetière Street West  
Suite 2100  
Montréal, Québec H3B 4W5
  
- 4    **Calgary**  
TransCanada Tower  
450 - 1st St. S. W., Suite 2500  
Calgary, Alberta T2P 5H1
  
- 5    **Vancouver**  
1055 West Hastings Street  
Suite 1700, Guinness Tower  
Vancouver, BC V6E 2E9
  
- 6    **New York**  
620 8th Avenue  
36th Floor  
New York, N.Y. 10018  
U.S.A.

## APPENDIX A

### PREDECESSOR FIRMS

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Name of Firm: Osler, Hoskin & Harcourt LLP

#### Merger Date

- |                           |                  |
|---------------------------|------------------|
| ▪ Herridge, Tolmie        | February 1, 1986 |
| ▪ MacDonald & Ferrier     | July 1, 1986     |
| ▪ Rogers, Rogers, Moore   | March 1, 1988    |
| ▪ Osler Hoskin & Harcourt |                  |

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

**APPENDIX B**  
**ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2017**

Name of Firm: Osler, Hoskin & Harcourt LLP

	<u>CANADA</u>					<u>OUTSIDE OF CANADA</u> <sup>/5</sup>	
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Other Provinces</u> <u>(Please specify)</u>	<u>U.S.</u>	<u>Other</u> <u>Locations</u>
a) No. of Lawyers <sup>/1</sup>	<u>10</u>	<u>65</u>	<u>280</u>	<u>55</u>	<u></u>	<u>17**</u>	<u></u>
b) No. of Patent & Trademark Agents <sup>/2</sup>	<u></u>	<u></u>	<u>6</u>	<u></u>	<u></u>	<u></u>	<u></u>
c) No. of Non-lawyer Consultants <sup>/3</sup>	<u></u>	<u></u>	<u>1</u>	<u></u>	<u></u>	<u></u>	<u></u>
d) No. of Paralegals	<u>3</u>	<u>3</u>	<u>38</u>	<u>14</u>	<u></u>	<u>1</u>	<u></u>
e) No. of Other Employees	<u>5</u>	<u>56</u>	<u>437</u>	<u>53</u>	<u></u>	<u>9</u>	<u></u>
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm <sup>/4</sup>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>	<u></u>

<sup>/1</sup> Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

<sup>/2</sup> These are not lawyers.

<sup>/3</sup> Please complete Appendix C if individuals are reported under this category.

<sup>/4</sup> Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

<sup>/5</sup> Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

*Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.*

*Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.*

*If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.*

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

**\*\* Please note that one of the lawyers (Kevin Colan) in our US office is physically working from Nova Scotia**



# Osler Hoskin Harcourt LLP

## CLLAS - Listing of Lawyers as of March 1, 2017

Last Name	First Name	Office	Year of Call (Actual)
Abbott	Mary	Toronto	ON 1998
Aboud	Malcolm	Toronto	ON 2013
Ahmed	Firoz	Toronto	ON 1986
Allen	Jacqueline	Toronto	ON 2012
Anderson	Brett	Toronto	ON 2012
Anstis	Siena	Toronto	ON 2015
Anton	Robert	Toronto	ON 2012
Ashton	Courtney	Toronto	ON 2010
Auron	James	Toronto	ON 2015
Austin	Mark	Toronto	ON 1999, AB 1997
Aziz	Andrew	Toronto	ON 1988
Barnes	Lyndon	Toronto	ON 1973
Barrett	Laurie	Toronto	ON 1982
Bayne	Chad	Toronto	ON 2002
Beale	Alexis	Toronto	ON 2014
Begun	Dov	Toronto	ON 1994
Bennett	Chris	Toronto	ON 2001
Bernasek	Joyce	Toronto	ON 2003, NY 2009
Bernstein	Sean	Toronto	ON 2015
Binions	Blake	Toronto	ON 2015
Biringer	Monica	Toronto	ON 1986
Bjorkquist	Sonia	Toronto	ON 1997
Black	John	Toronto	ON 1994
Blom	R. Johanna	Toronto	ON 2004
Borins	Richard	Toronto	ON 1995
Bowman	Michael	Toronto	ON 1983
Boyd	Andrew	Toronto	ON 2014
Brown	James	Toronto	ON 2008
Bruschetta	Lia	Toronto	ON 2011
Bryce	Doug	Toronto	ON 1997
Burgess	Jeremy	Toronto	ON 2015
Burgoyne	Terrence R.	Toronto	ON 1983, England & Wales 1988
Callaghan	Patrick	Toronto	ON 1995
Calvaruso	Martino	Toronto	ON 2009
Carson	Robert A.	Toronto	ON 2009
Cheng	May	Toronto	ON 1993
Choi	Eric	Toronto	ON 2015
Chong	Janet	Toronto	ON 2010
Cobb	Alexander	Toronto	ON 2002
Code	Jacqueline	Toronto	ON 1998
Coleman	Allan	Toronto	ON 1999
Cotric	Vanessa	Toronto	ON 2015
Cotter	John	Toronto	ON 1988
Creighton	Victoria	Toronto	ON 2009
Cymbalisty	Shawn	Toronto	ON 2014
Dacks	Jeremy	Toronto	ON 1999

# Osler Hoskin Harcourt LLP

## CLLAS - Listing of Lawyers as of March 1, 2017

Last Name	First Name	Office	Year of Call (Actual)
Dattu	Riyaz	Toronto	ON 1984
Davachi	David	Toronto	ON 2010
Davidge	Rod	Toronto	ON 2000
Dawson	Douglass	Toronto	ON 2015
de Grandpré	Vincent	Toronto	ON 2003, NY 2001, QC 1997
De Lellis	Michael	Toronto	ON 2003
D'Elia	Caroline	Toronto	ON 2002
DeMarco	Jean	Toronto	ON 1976
DesLauriers	Mark	Toronto	ON 1983
Devir	Anthony	Toronto	ON 1993, Australian Capital Territory 1983, New South Wales 1989
Dharamdial	Justin	Toronto	ON 2013
Di Cesare	Allison	Toronto	ON 2015
Di Cresce	Stella	Toronto	ON 2005
Dickie	Steven	Toronto	ON 2011
Dolman	Jennifer	Toronto	ON 1991
Donald	Danna	Toronto	ON 2004
Eghan	Raphael	Toronto	ON 2010
Emakpor	Tobor	Toronto	ON 2008, England & Wales 1994
Fairfax	Jennifer	Toronto	ON 2003
Fekete	Michael	Toronto	ON 1993
Ferrigan	Helen	Toronto	ON 2005
Fidler	Carly	Toronto	ON 2011, NY 2012
Fine	Joanna	Toronto	ON 2006
Fombonne	Daniel	Toronto	ON 2013
Fraiberg	Jeremy	Toronto	ON 2000
Franklyn	Peter	Toronto	ON 1984
Fric	Laura	Toronto	ON 1995
Frith	Andraya	Toronto	ON 1998
Fullerton	Richard	Toronto	ON 1998
Gelowitz	Mark	Toronto	ON 1991, SK 1987
Gilchrist	Donald	Toronto	ON 1985
Gillott	Roger	Toronto	ON 1996
Gilmour	Emily	Toronto	ON 2016
Gleason-Mercier	Catherine	Toronto	ON 2013
Glendinning	Deborah	Toronto	ON 1990
Glossop	Peter	Toronto	ON 1986
Gokhale	Sony	Toronto	ON 2007
Gorka	Alexander	Toronto	ON 2010
Graham	Victoria	Toronto	ON 2000
Grantmyre	Michael	Toronto	ON 2012
Gray	Brian	Toronto	ON 2010
Greenspoon	Jay	Toronto	ON 2010
Groenewegen	John	Toronto	ON 1997
Gross	Wendy	Toronto	ON 1993
Grove	Geoffrey	Toronto	ON 2009

# Osler Hoskin Harcourt LLP

## CLLAS - Listing of Lawyers as of March 1, 2017

Last Name	First Name	Office	Year of Call (Actual)
Hall-McGuire	Rebecca	Toronto	ON 2015
Hansford	Vanessa	Toronto	ON 2011
Hanson	Jason	Toronto	ON 1982
Harper	Lauren	Toronto	ON 2016
Hart	Michael	Toronto	ON 1995
Hartog	Adrian	Toronto	ON 1984
Heale	Amanda	Toronto	ON 2005
Heard	Joel	Toronto	ON 1994
Hincer	Ilkim	Toronto	ON 1997, BC 2005
Hirsh	Adam	Toronto	ON 2008
Hodgett	Simon	Toronto	ON 1992, NF & Labrador 1996
Horner	Clay	Toronto	ON 1986
Hughes	Timothy	Toronto	ON 2000
Hulme	Lauren	Toronto	ON 2013
Hung	Roland	Toronto	ON 2010
Hunnisett	Geoffrey	Toronto	ON 2009
Hunter	Rosalind	Toronto	ON 2006
Ibrahim	Aatifa	Toronto	ON 2017
Innes	Michael	Toronto	ON 2001, Massachusetts 1998
Ip	Sam	Toronto	ON 2014
Irving	Shawn	Toronto	ON 2004
Irwin	Andrew	Toronto	ON 2016
Ivanoff	Paul	Toronto	ON 1993, NB 1991
Jackson	Christine	Toronto	ON 2011
Jamal	Mahmud	Toronto	ON 1996
Jamieson	David	Toronto	ON 2011
Kaplan	Arielle	Toronto	ON 2016
Kardash	Adam	Toronto	ON 1994
Kelly	Colin	Toronto	ON 2011
Kelsall	James	Toronto	ON 2013
Kenigsberg	Alan	Toronto	ON 2001
Kerstens	Brandon	Toronto	ON 2015
Khazam	Robert	Toronto	ON 2015
Kim	Min Hee (Margaret)	Toronto	ON 2016
King	Richard	Toronto	ON 1996
Kingdom	Hannah	Toronto	ON 2015
Kingissepp	Andrew	Toronto	ON 1984
Kirsh	Marissa	Toronto	ON 2014
Kirsh	Trevor	Toronto	ON 2015
Kotschorek	Paul	Toronto	ON 2001
Krusell	Joshua	Toronto	ON 2012
Kuzma	Kaeleigh	Toronto	ON 2008
Lally	Michelle	Toronto	ON 1992
Lam	Joshua	Toronto	ON 2010
Law	Tara	Toronto	ON 2008
Ledger	Brett	Toronto	ON 1979

# Osler Hoskin Harcourt LLP

## CLLAS - Listing of Lawyers as of March 1, 2017

Last Name	First Name	Office	Year of Call (Actual)
Lee	Desmond	Toronto	ON 1998
Lefcoe	Lauren	Toronto	ON 2014
Leibner	Jake	Toronto	ON 2013
Leith	Simon	Toronto	ON 2013
Lem	Megan	Toronto	ON 2016
Leung	Kevin	Toronto	ON 2016
Lipkus	Nathaniel	Toronto	ON 2007, NY 2008
Litner	Paul	Toronto	ON 1990
Liu	Ted	Toronto	ON 2008
Livshitz	Natalie	Toronto	ON 2016
Lockhart	Andrea	Toronto	ON 2008
Lockwood	Craig	Toronto	ON 2002
Lowenstein	Larry	Toronto	ON 1983
Ludwin	Ilana	Toronto	ON 2014
MacDonald	John	Toronto	ON 1986, NB 1988
MacDougall	Andrew	Toronto	ON 1993
MacFarlane	John	Toronto	ON 1991, AB 1998
Mack	Arlene	Toronto	ON 2012
Malik	Waleed	Toronto	ON 2015
Marin	Jonathan	Toronto	ON 2011
Marley	Patrick	Toronto	ON 1997, NY 2004
Marshall	Douglas	Toronto	ON 1989
Marta	Christopher	Toronto	ON 2016
Marton	James	Toronto	ON 2016
McCarthy	Ethan	Toronto	ON 2015
McKean	Heather	Toronto	ON 1982
McLeod	Sarah	Toronto	ON 2016
McWhaw	Jennifer	Toronto	ON 2015
Meghji	Al	Toronto	ON 1990, AB 1995
Miao	Amelia	Toronto	ON 2012
Mihailovich	Pooja	Toronto	ON 2006
Milet	Matias	Toronto	ON 2005, NY 2000
Millar	Sarah	Toronto	ON 2003
Milligan	Peter	Toronto	ON 1983
Mitchell	Timothy	Toronto	ON 2011
Mochrie	Dominic	Toronto	ON 2002
Moffatt	Kelly	Toronto	ON 1992
Morassutti	Paul	Toronto	ON 1988
Morgan	Eric	Toronto	ON 2011, Solicitor Supreme Court of England & Wales 2010
Morier	Drew	Toronto	ON 2002, QC 2003, AB 2010
Morley	Kevin	Toronto	ON 1987
Morritt	David	Toronto	ON 1984
Murray	Blake	Toronto	ON 1975
Murray	Christopher	Toronto	ON 1985
Nanji	Al-Nawaz	Toronto	ON 2003
Naudie	Christopher	Toronto	ON 1997

# Osler Hoskin Harcourt LLP

## CLLAS - Listing of Lawyers as of March 1, 2017

Last Name	First Name	Office	Year of Call (Actual)
Nielsen	Ryan	Toronto	ON 2009
Nurmohamed	Sameer	Toronto	ON 2014
Obal	Shelley	Toronto	ON 1985
O'Brien	Kevin	Toronto	ON 2005
Paterson	Mary	Toronto	ON 2005
Pavic	Sonja	Toronto	ON 2013
Pearlstein	Jason	Toronto	ON 2014
Ponder	Dale	Toronto	ON 1982
Popuri	Swetha	Toronto	ON 2016
Poysa	Sven	Toronto	ON 2006
Pratt	Randall	Toronto	ON 1992
Pressman	Emmanuel	Toronto	ON 1998
Qu	Shujie	Toronto	ON 2015
Rankin	William	Toronto	ON 2013, AB 2011
Rauccio	Lindsay	Toronto	ON 2010
Reid	Aislinn	Toronto	ON 2009
Richardson Arnould	Marc	Toronto	QC 2012
Rienzo	Douglas	Toronto	ON 1994
Riesterer	Patrick	Toronto	ON 2011
Ritchie	Lawrence	Toronto	ON 1996
Ritchie	Matthew	Toronto	ON 2014
Roberts	Nancy	Toronto	ON 1996
Robertson	Thomas	Toronto	ON 2016
Rochkin	Marta	Toronto	ON 2014
Rodal	Shuli	Toronto	ON 2000
Roomes	Adrian	Toronto	ON 2013
Rowe	Mary	Toronto	ON 2015
Rubin	Erin	Toronto	ON 2016
Sachar	Karin	Toronto	ON 2011
Sadikman	Jacob	Toronto	ON 2005
Salloum	John	Toronto	ON 2006
Sandler	Tracy	Toronto	ON 1991
Schieman	D'Arcy	Toronto	ON 2002
Scott	Gillian	Toronto	ON 2003
Sebastiano	Rocco	Toronto	ON 1994
Shakra	Michael	Toronto	ON 2013
Sheeley	Mark	Toronto	ON 2014
Sheffield	Kai	Toronto	NY 2013, ON 2016
Sheridan	Christopher	Toronto	ON 2012
Silverson	Jack	Toronto	ON 1988, AB 1997
Sim	Janet	Toronto	ON 1982
Smith	Steven	Toronto	ON 1982
Smith	Elliot	Toronto	ON 2008
Snyder	Matthew	Toronto	ON 2014
St. Aubin	Jeff	Toronto	ON 2014
Stamp	David	Toronto	ON 1992

# Osler Hoskin Harcourt LLP

## CLLAS - Listing of Lawyers as of March 1, 2017

Last Name	First Name	Office	Year of Call (Actual)
Steele	Jana	Toronto	ON 1997, NB 2012
Stein	Lori	Toronto	ON 2001
Steiner	Joseph	Toronto	ON 1977, AB 1986
Stidwill	Sean	Toronto	ON 2016
Tasharofi	Masoud	Toronto	ON 2015
Thomas	Evan	Toronto	ON 2007
Tilak	Hemant	Toronto	ON 1987
Tomasich	Lauren	Toronto	ON 2008
Trachuk	Mark	Toronto	ON 1989, BC 1993, England & Wales 1993
Trattner	Paula	Toronto	ON 1999
Tremblay	Richard	Toronto	ON 1979
Tsilivis	Louis	Toronto	ON 2015
Valentini	George	Toronto	ON1984
Valley	John	Toronto	ON 2005
Wainstein	Rebecca	Toronto	ON 2014
Walters	Gregory	Toronto	ON 2005
Wanford	Matthew	Toronto	ON 2011, AB 2008, England & Wales ON 2000
Wasserman	Marc	Toronto	ON 2001
Watts	Michael	Toronto	ON 1991
Webster	Lee	Toronto	ON 1981
Wells	Allan	Toronto	ON 1996
Welsh	Patrick	Toronto	ON 2011
Whittam	Kevin	Toronto	ON 2013
Wiley	Blair	Toronto	ON 2008
Wong	Andrew	Toronto	ON 1995
Wong	Richard	Toronto	ON 1997, NY 2000
Wong	Daniel	Toronto	ON 2001
Wylie	Gregory	Toronto	ON 1992
Yeung	Diana	Toronto	ON 2009, NY 2010
Yeung	Sandy	Toronto	ON 2003
Zalewski	Anna	Toronto	ON 2000
Zaman	Kashif	Toronto	ON 2002, NY 2002
Zienius	Charles	Toronto	ON 2002
Azzi	Chadi	Ottawa	ON 2015
Boban	Jaana	Ottawa	ON 2015, NS 2015
De Pellegrin	Carina	Ottawa	ON 1999
Fong	Barry	Ottawa	ON 2010
Kells	Brian	Ottawa	ON 2016
Langen	Geoffrey	Ottawa	ON 2006
Lovell	Heather	Ottawa	ON 2014
Lunn	Faylene	Ottawa	ON 2012
MacDonald	Peter	Ottawa	ON 2003
MacGregor	Ian	Ottawa	ON 1976
Munroe	Natalie	Ottawa	ON 2009, NY 2004
Rai	Manvir	Ottawa	ON 2016
Talarico	Andrea	Ottawa	QUE 2009

# Osler Hoskin Harcourt LLP

## CLLAS - Listing of Lawyers as of March 1, 2017

<b>Last Name</b>	<b>First Name</b>	<b>Office</b>	<b>Year of Call (Actual)</b>
Vandenberg	Edward	Ottawa	ON 1998, BC 1996
Wallace	Lillian	Ottawa	ON 2015
White	Donna	Ottawa	ON 1984
White	Brad	Ottawa	ON 1998
Wright	Craig	Ottawa	ON 1987
Anderson	Robert	Calgary	AB 1980
Armstrong	Kelsey	Calgary	AB 2011
Bach	David	Calgary	AB 2015
Baines	Simon	Calgary	AB 2004
Barretto	Jeremy	Calgary	AB 2011
Basra	Rumdeep	Calgary	AB 2003
Bradley	Noralee	Calgary	AB 1991
Buckingham	Janice	Calgary	AB 1996
Burkett	Melissa	Calgary	AB 2012, MB 2008
Carson	Lorne	Calgary	AB 1981
Coyle	Elizabeth	Calgary	AB 2012
Damodar	Miju	Calgary	AB 2016
Denstedt	Shawn	Calgary	AB 1989, ON 1993
Der	Colena	Calgary	AB 2009
Desbarats	Robert	Calgary	AB 1976, ON 1976
Dixon	Olivia	Calgary	AB 2008
Duncanson	Alexander	Calgary	AB 2011
Feasby	Colin	Calgary	AB 1999
Gaston	Melanie	Calgary	AB 2002
Gelbman	Tommy	Calgary	AB 2007
Gray	Kaitlin	Calgary	AB 2016
Grenon	Gerald	Calgary	AB 1995
Grigoriu	Corinne	Calgary	AB 2012
Hamill	Catherine	Calgary	AB 2016
Harding	Brynne	Calgary	AB 2013
Henry	Stephanie	Calgary	ON 2015
Housman	Rob	Calgary	AB 1997
Huys	Matthew	Calgary	AB 2012
Ignasiak	Martin	Calgary	AB 1999, NWT 2012
Jevremovic(Saric)	Dana	Calgary	AB 2011
Kennedy	Jessica	Calgary	AB 2013
Killoran	Maureen	Calgary	AB 1995, ON 2001, BC 2015
Kolibar	Daniel	Calgary	AB 1989, ON 1993
Kustra	Bryce	Calgary	AB 2012
LaRoche	Adam	Calgary	AB 2016
Lehodey	Robert	Calgary	AB 1983
Lemke	Kevin	Calgary	AB 2015
Liu	Lilly(Wen)	Calgary	AB 2014
Mallett	Tristram	Calgary	AB 1997, ON 1989
McNerney	Thomas	Calgary	AB 2014
Ngo	Steven	Calgary	AB 2015

# Osler Hoskin Harcourt LLP

## CLLAS - Listing of Lawyers as of March 1, 2017

Last Name	First Name	Office	Year of Call (Actual)
Oleniuk	Terri-Lee	Calgary	AB 2005, NWT 2012
Olexiuk	Paula	Calgary	AB 2000
Osaka	Kelly	Calgary	AB 2007, ON 2006
Paplawski	Emily	Calgary	AB 2013
Parker	Shaun	Calgary	AB 2012
Prince	Tamara	Calgary	AB 2006, SK 2003
Rigolo	Damian	Calgary	AB 2007, ON 1997
Robertson	Heather	Calgary	ON 2008, AB 2008, NY 2007
Ross	Neal	Calgary	AB 2002, SK 2001
Rowe	Edward	Calgary	AB 1996, ON 2001
Royer(Mulherin)	Katherine	Calgary	AB 2013
Schappert	Taylor	Calgary	AB 2014
Schepp	Cameron	Calgary	AB 2003
Sherman	Justin	Calgary	AB 2011
St. John	Rachel	Calgary	NY 2008, ON 2010, AB 2010
Sutherland	Sean	Calgary	AB 2014
Tapuska	Edward	Calgary	AB 1987
Thiessen	Brian	Calgary	AB 1998
Thiessen	Ted	Calgary	AB 2005
Turner	Frank	Calgary	AB 2002, ON 1993
Vandale	Joanne	Calgary	AB 2005
Whyte	Andrea	Calgary	AB 2000
Wong	Heidi	Calgary	AB 2006
Zuniga	Daniel	Calgary	AB 2013
Anaman	Amma	New York	NY 2012
BudabinMcQuown	Michael	New York	NY 2010
Colan	Kevin	New York	NY 2001
Comerford	Jason	New York	NY 2001, ON 1999
Corcoran	William	New York	NY 2006, Massachusetts 1988
Hardy	David	New York	NY 1976
Herr	Andrew	New York	NY 2000, ON 1997, Supreme Court of England & Wales 2001
Kushner	Marc	New York	NY 1989, New Jersey 1989, Maine 1990, District of Columbia 1990
Lando	Robert	New York	NY 1991, ON 1991
Lee	Jennifer	New York	NY 2010
Morse	Alix	New York	ON 2013
Mulroy	Jillian	New York	ON 2016
Seraganian	Paul	New York	NY 2001
Sherman	Michael	New York	
Wallwork	Adam	New York	NY 2014
Whitaker	Nathan	New York	NY 2008
Wright	Ramin	New York	NY 2015
Abitan	Sandra	Montréal	QC 1990
Amram	Raphael	Montréal	QC 2010, ON 2011
Amyot	Sophie	Montréal	QC 2013



# Osler Hoskin Harcourt LLP

## CLLAS - Listing of Lawyers as of March 1, 2017

Last Name	First Name	Office	Year of Call (Actual)
Arendas	Mikulas	Montréal	QC 2013
Beauregard	Nathalie	Montréal	QC 1989, NY 1989
Benoît	Fabrice	Montréal	QC 1995
Besner	Yan	Montréal	QC 2003
Brender	Mark	Montréal	QC 1990
Brisset	Jeremy	Montréal	QC 2011
Burdon	Geneviève	Montréal	QC 2012, ON 2012
Cavaliere	Chloe	Montréal	QB 2015
Cloutier	Nicole	Montréal	QC 1985
Cohen	Samuel	Montréal	QC 1996
Desrosiers	Martin	Montréal	QC 1983
Falardeau	Guillaume	Montréal	QC 2016
Fallon	Alexandre	Montréal	QC 2010, ON 2009
Forget	Jean-Francois	Montréal	QC 2013
Fournier	Alain	Montréal	QC 2003
Gagnon	Hugo-Pierre	Montréal	QC 2006
Gallant	Annie	Montréal	QC 2010
Gauthier	Bastien	Montréal	QC 2006
Gould	Natasha	Montréal	QC 2014
Greenaway	Christopher	Montréal	QC 2014
Guindi	Shahir	Montréal	QC 1990, NY 1990
Harding	Jessica	Montréal	QC 2013
Hynes-Gagné	Julien	Montréal	QC 2015
Jodoin	Christiane	Montréal	ON 1984
Laurin-Pratte	François	Montréal	ON 2015, QC 2015
Levy	Eric	Montréal	QC 1996
Liu	Juliette Cong	Montréal	QC 2016
Lussier	Sylvain	Montréal	QC 1978
Martin	Alexandre	Montréal	QC 2011
Massicotte	Etienne	Montréal	QC 1988
Meloche	Elizabeth	Montréal	QC 2005
Morissette	Julien	Montréal	QC 2009, ON 2009
Nadeau Ouellet	Nicolas	Montréal	QC 2008
Paradis	François	Montréal	QC 2003
Penta	Antonella	Montréal	QC 1999, ON 2002
Plamondon	Frederic	Montréal	QC 2013
Povitz	James	Montréal	QC 2016
Préfontaine	Éric	Montréal	QC 2001
Raizenne	Robert	Montréal	QC 1981, ON 1997
Ranger-Musiol	Julien	Montréal	QC 2006, ON 2007
Scheim	Laura	Montréal	QC 2014
Shi	Ziyi	Montréal	QC 2014
Sirois	Nicole	Montréal	QC 1987
Stébenne	Antoine	Montréal	QC 1998
Thivierge	Manon	Montréal	QC 1986
Troulis	Constantine	Montréal	QC 1987

# Osler Hoskin Harcourt LLP

## CLLAS - Listing of Lawyers as of March 1, 2017

Last Name	First Name	Office	Year of Call (Actual)
Ubani	Chima	Montréal	QC 2008
Veilleux	Niko	Montréal	QC 2016, NY 2001
Verdon-Akzam	Marjolaine	Montréal	QC 2014
Wetherly	Caitlin	Montréal	QC 2015
Wilson	David	Montréal	QC 2014
Yalden	Robert	Montréal	QC 1992, ON 1991
DuPlessis	Jacques	Vancouver	BC 2013
Hutchison	Alan	Vancouver	BC 2001
Longo	Mark	Vancouver	ON 1994
Martindale	Martha	Vancouver	BC 2004
Ross	David	Vancouver	BC 2012
Sharlow	Karen	Vancouver	BC 1982
Webb	Laura	Vancouver	ON 2012
Young	Jacob	Vancouver	AB 2016
Young	Justin	Vancouver	BC 2010, ON 2003
Zook	Marissa	Vancouver	AB 2015

**APPENDIX C**  
**ACTIVE NON-LAWYER CONSULTANTS OF THE FIRM AS OF MARCH 1, 2017**  
**(Excluding Patent & Trademark Agents)**

Name of Firm: Osler, Hoskin & Harcourt LLP

**SECTION A**

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance <sup>/1</sup>	% of Time Docketed <sup>/2</sup>
Consulting related to Privacy Matters	1	ON	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**SECTION B**

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: N/A

Insurance Carrier: \_\_\_\_\_

Policy Number: \_\_\_\_\_

Period of Insurance: \_\_\_\_\_

Retroactive Date: \_\_\_\_\_

Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ aggregate

Type of Exposure: \_\_\_\_\_

Insurance Carrier: \_\_\_\_\_

Policy Number: \_\_\_\_\_

Period of Insurance: \_\_\_\_\_

Retroactive Date: \_\_\_\_\_

Limits: \$\_\_\_\_\_ per claim, \$\_\_\_\_\_ aggregate

<sup>/1</sup> If underlying insurance is purchased, please complete Section B.

<sup>/2</sup> PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

## APPENDIX D

### "ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

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Name of Firm: Osler, Hoskin & Harcourt LLP

- The Named Insured under the CLLAS policies is Osler, Hoskin & Harcourt LLP with Osler, Hoskin & Harcourt, a U.K. organized partnership with former offices in London (UK), Hong Kong and Singapore being added as an additional Named Insured.
- Osler, Hoskin & Harcourt merged with Herridge, Tolmie on February 1, 1986, MacDonald & Ferrier on July 1, 1986 and Rogers, Rogers, Moore on March 1, 1988.
- The Firm has Canadian offices in Toronto, Ottawa, Montreal, Calgary, Vancouver and in New York City (through a separate State of New York organized partnership of the same name).
- The Firm formed an international partnership on January 1, 1991, known as Osler Renault Ladner, with Ogilvy Renault of Montreal and Ladner Downs of Vancouver which provided legal services to both Canadian clients with interests in the United States, Europe and the Far East and clients based in such areas doing business in Canada.
- Effective December 31, 1993, Ladner Downs withdrew and the partnership continued under the name of Osler Renault.
- Effective March 31, 1996, Ogilvy Renault ceased to be a partner of Osler Renault.
- Effective April 1, 1996, Osler Renault changed its name to Osler, Hoskin & Harcourt and the former offices of Osler Renault in London, Hong Kong and Singapore became offices of Osler, Hoskin & Harcourt, the U.K. organized partnership. The Singapore, Hong Kong and London offices were closed on December 31, 1996, June 30, 1998 and July 31, 1999 respectively.
- There is an endorsement on the policy with respect to Osler Renault Ladner and Osler Renault to cover possible claims relating to the period during which the partnerships were in operation.
- Coverage is also provided to the predecessor firms to Osler, Hoskin & Harcourt, namely, Herridge, Tolmie, MacDonald & Ferrier and Rogers, Rogers, Moore.

## APPENDIX E

### PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Osler, Hoskin & Harcourt LLP

#### 1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
N/A		

#### 2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office
N/A		

#### 3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law
New York City	0	12**	5
** please note that one	of the New York	lawyers (Kevin Colan) is	physically working from
			Nova Scotia

#### 4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure:	Lawyers Professional Liability Insurance
Insurance Carrier:	Columbia Casualty (25%), Nautilus (25%), Indian Harbor (16.5%), Axis Surplus (18.5%), Underwriters at Lloyds, London (15%)
Policy Number:	B1353DR1601076000
Period of Insurance:	July 1, 2016 to July 1, 2017
Retroactive Date:	
Limits:	\$ 30,000,000 per claim, \$ 30,000,000 annual aggregate

Type of Exposure:	N/A
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limits:	\$ per claim, \$ annual aggregate

Type of Exposure:	N/A
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limits:	\$ per claim, \$ annual aggregate

Type of Exposure:	
Insurance Carrier:	
Policy Number:	
Period of Insurance:	
Retroactive Date:	
Limits:	\$ per claim, \$ annual aggregate

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2016

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Name of Firm: Osler, Hoskin & Harcourt LLP

Already on file.

A handwritten signature in dark ink, appearing to be "JH" or similar, located in the lower right quadrant of the page.

APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

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Name of Firm: Osler, Hoskin & Harcourt LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

See attached.



## **Osler, Hoskin & Harcourt LLP**

### **Errors and Omissions – Risk Management**

Osler, Hoskin & Harcourt LLP manages the risk of errors and omissions on the part of its legal professionals through a number of different initiatives:

#### **Responsibility for Professional Liability Risk Management**

The Firm has an Ethics and Conflicts Committee that has overall responsibility for managing and dealing with issues relating to ethics or conflicts. The Committee is responsible for making decisions on potential conflicts and developing our processes and procedures relating to the management of ethical walls. Members of the Committee also provide on going advice on potential ethical issues or any issue relating to professional responsibility. The Committee also has general oversight and administration of the Firm's policies on confidentiality and insider trading.

The Firm also has appointed a partner to be responsible for all E&O matters. Such partner is consulted on and monitors all claims and possible claims against the Firm and reviews all filings made in respect of any claims. The partner also attends various sessions dealing with risk management put on by CLLAS and other external organizations.

#### **Risk Management Policies and Procedures**

The Firm has an umbrella Quality Assurance Policy setting out general standards and expectations and a number of other policies to address specific areas of risk. These other policies are:

- Policy on Engagement Letter and Client Service Terms
- Policy on Rendering Legal Opinions
- Procedures relating to conflicts
- Policy on Acting as Directors, Officers, Trustees or Business Persons
- Policy on Insider trading
- Confidentiality Policy
- Policy for Reporting Violations of the Law and Breaches of Duty (Up the ladder reporting)
- Errors and Omissions Policy
- Policy on Internet and Email Use
- Policy on Ethical Walls

The Firm conducts an annual certification process, requiring all legal professionals and staff to confirm that they have complied with the key quality assurance policies during the past year.

#### **Work Intake**

The Firm has a Client Screening policy and has appointed 2 partners as Gatekeepers to administer the policy. Gatekeeper approval must be obtained for all new clients and for certain new matters.

The Firm has a rigorous conflicts system to help identify any potential client conflicts before new clients, new matters or new lawyers are taken on with the Firm.

The Firm has a set of standard Client Service Terms, required to be provided to all clients. The Terms address common service terms. In addition, an engagement letter is required to be sent out to clients on the opening of all new matters, dealing with the specific terms of engagement for the particular matter.

The Firm's Billing Management Policy identifies the circumstances in which a monetary retainer is required to be obtained from a client.

### **Communication and Training on Risk Management**

The Firm periodically holds firm-wide mandatory training sessions for lawyers and staff on various risk management issues. All of the policies are on the Firm intranet, fully searchable, and available by topic and by role.

Training sessions are held for in-coming articling students and summer students each year, and a refresher session is held with new first year associates when they return in September of each year.

All new staff are provided with copies of all relevant policies when they arrive and are directed to the supplemental resources available on the intranet.

The Firm has also introduced a training program for new partners on risk management. This training program is held annually.

### **General Training**

The Firm has a full-time former practising lawyer in the role of Chief Knowledge Officer. The CKO is responsible for providing on-going continuing legal education for all legal professionals, in all offices. A broad range of substantive legal programs are provided in-house on a regular basis. The Firm is an Accredited Provider for professional development programs in Ontario, Quebec, B.C. and New York. Lawyers also attend continuing legal education programs provided by external organizations, including the local law societies, the local Canadian Bar Association organization (e.g. the Ontario Bar Association), Insight, Federated Press and others.

Lawyers are required to track their own continuing professional development, but the Firm has put systems in place to assist them to ensure they are meeting their mandatory requirements..

The Firm also regularly provides practice oriented programs, including programs on:

- researching skills
- the rules of professional conduct
- trust accounting
- time and practice management
- docketing
- negotiations
- presentation and communication skills.

In addition to formal programs, each department and practice group holds regular meetings of all members to discuss developments in the law, practice points and other issues that help ensure legal professionals keep up to date in their areas of practice.

### **Resources**

The Firm has an extensive Law Library, with “branches” in each office. The Library provides access to an extensive collection of legal resources both in hard copy and on-line.

Each department and practice group has identified case reports and digests, journals, newsletters and other periodicals relevant to its practice area which are circulated regularly to all members of the department to assist them in keeping up to date in developments in their areas.

Each department has developed model precedents and checklist to assist lawyers in preparing up-to-date documents and effectively managing files and transactions. Each department also collects and shares sample documents to provide lawyers with current information on industry practices and trends. The Firm also subscribes to various external precedent resources.

### **Supervision**

A responsible partner is assigned to each client file. The work of all articling students and associates is supervised by a more senior lawyer, to the extent appropriate in each circumstance. All students and associates are encouraged to ask questions whenever they are unsure of their instructions or direction.

### **Tickler System**

The Firm uses a centralized tickler system to keep track of limitation periods and other critical dates. The IP department uses InProtec to track critical dates.

### **Practice Management Guidelines**

The Ontario Law Society has produced practice management guidelines to assist lawyers and law firms in assessing, maintaining and enhancing their quality of service. The Firm has reviewed these Guidelines against the Firm’s practices, procedures and resources.

### **Personal Management**

The Firm has an employee assistance program available to all legal professionals to help them deal with personal or work related stress. The Firm subsidizes membership at local fitness organizations for students and associate lawyers to assist them in gaining a balanced lifestyle and deal with stress.

### **Administrative Support**

The Firm has well organized and operated administrative departments, under the direction of subject area professionals, to provide support and procedures in their area, including Records Management, Finance & Accounting, Human Resources, and Marketing and Client Development.

March, 2017

APPENDIX H  
CYBER LIABILITY

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Name of Firm: Osler, Hoskin & Harcourt LLP

**1. Personnel**

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

---

- b) Do you have a Chief Privacy Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

---

**2. Protection**

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?  
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☐ Data at rest  
☒ Data in transit  
☒ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)  
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?  
☒ yes ☐ no

- e) Do you require that passwords be regularly updated? ☒ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no

- h) Is the data on your servers encrypted? ☐ yes ☒ no

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☒ yes ☐ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

### 3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☒ yes ☐ no

### 4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☒ yes ☐ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☒ yes ☐ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches?  
☐ yes ☒ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks?  
☐ yes ☒ no

APPENDIX I

2017 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND  
EXEMPTION FORM SUBMITTED TO LAWPRO

---

Name of Firm: Osler, Hoskin & Harcourt LLP

See attached.

# Osler Hoskin Harcourt LLP

## 2017 LawPro Renewal - Listing of Lawyers

Last Name	First Name	Office	LSUC #
ABBOTT	MARY ELISABETH	Toronto	40320J
ABOUD	MALCOLM KALIL	Toronto	64298D
AHMED	SYED FIROZ	Toronto	26439J
ALLEN	JACQUELINE LEE	Toronto	62089D
AMERASINGHE	CHINTHAKA NIRAJ	Toronto	47050G
ANDERSON	BRETT GREGORY	Toronto	62453F
ANSTIS	SIENA	Toronto	67659Q
ANTON	ROBERT DAVID	Toronto	61757R
ASHTON	COURTNEY ELIZABETH	Toronto	58195Q
AURON	JAMES ALEXANDER	Toronto	68003D
AUSTIN	MARK EDWARD	Toronto	42421R
AZIZ	ANDREW WILLIAM	Toronto	28334P
BARNES	LYNDON ALEXANDER JOHN	Toronto	13350D
BARRETT	LAURIE ELAINE	Toronto	21942S
BAYNE	CHAD MITCHELL	Toronto	46269C
BEAUMONT	DOUGLAS ROBERT	Toronto	26084Q
BEGUN	DOV BAER	Toronto	35765T
BENNETT	CHRISTOPHER MICHAEL	Toronto	44088P
BERNASEK	JOYCE MARGARET	Toronto	48004I
BERNSTEIN	SEAN WARREN	Toronto	67422N
BHATIA	SANJANA	Toronto	36332I
BINIONS	WILLIAM BLAKE	Toronto	68013U
BIRINGER	MONICA EVA	Toronto	25702O
BJORKQUIST	SONIA LYNN	Toronto	38973L
BLACK	JOHN ALEXANDER	Toronto	35781A
BLOM	RUTH JOHANNA	Toronto	48827T
BORINS	RICHARD MICHAEL	Toronto	37010A
BOWMAN	MICHAEL HARTLEY DAVID	Toronto	22976S
BOYD	ANDREW DOUGLAS	Toronto	66200T
BROWN	JAMES RICHARD	Toronto	55774I
BRUSCHETTA	LIA JOYCE	Toronto	60041O
BRYCE	DOUGLAS ALEXANDER	Toronto	39364P
BURGESS	JEREMY	Toronto	68028R
BURGOYNE	TERRENCE RAY	Toronto	22990H
CALLAGHAN	PATRICK JAMES	Toronto	36649N
CALVARUSO	MARTINO FRANCO	Toronto	57359Q
CARSON	ROBERT ANDREW	Toronto	57364H
CHENG	MAY	Toronto	33885V
CHOI	ERIC	Toronto	67705G
CHONG	NAI VIN JANET	Toronto	58543O
COBB	ALEXANDER FRANCIS LAKIN	Toronto	45363F
CODE	JACQUELINE RUTH	Toronto	40398E
COLEMAN	ALLAN DAVID	Toronto	42007W
COTRIC	VANESSA NICOLE	Toronto	65845T



# Osler Hoskin Harcourt LLP

## 2017 LawPro Renewal - Listing of Lawyers

Last Name	First Name	Office	LSUC #
COTTER	JOHN CHRISTOPHER	Toronto	28378V
CREIGHTON	VICTORIA CATHERINE	Toronto	57384S
CYMBALISTY	SHAWN MICHAEL	Toronto	65468M
DACKS	JEREMY ELLIOTT	Toronto	41851R
DATTU	RIYAZ	Toronto	23665A
DAVACHI	DAVID HADI	Toronto	58867C
DAVIDGE	RODNEY SANDYS	Toronto	43454V
DAWSON	DOUGLASS JANET	Toronto	68388U
DE GRANDPRE	VINCENT	Toronto	47452E
DE LELLIS	MICHAEL	Toronto	48038U
D'ELIA	CAROLINE MARIE	Toronto	46808F
DEMARCO	JEAN MARY	Toronto	15919W
DESLAURIERS	JOHN MARK	Toronto	23022E
DEVIR	ANTHONY JOHN	Toronto	34234L
DHARAMDIAL	JUSTIN DAVENDRA	Toronto	63997S
DICESARE	ALLISON MARY CATHERINE	Toronto	68060C
DICKIE	STEVEN WILLIAM KARN	Toronto	60411P
DICRESCE	MARIE STELLA	Toronto	51008O
DOLMAN	JENNIFER	Toronto	32213F
DONALD	DANNA ALEXANDRA	Toronto	49538E
EGHAN	RAPHAEL TONY	Toronto	58887N
EMAKPOR	EFETOBOR	Toronto	56075Q
EROFF	INA	Toronto	59133C
FAIRFAX	JENNIFER OLIVIA KAY	Toronto	47621G
FEKETE	MICHAEL GENE GABOR	Toronto	34656U
FERRIGAN	HELEN LEE	Toronto	51281U
FIDLER	CARLY JESSICA	Toronto	60093W
FINE	JOANNA BETH	Toronto	52830F
FLISFEDER	ANNA	Toronto	60971V
FOMBONNE	DANIEL JEREMIE	Toronto	64024M
FRAIBERG	JEREMY DAVID LYON	Toronto	43472R
FRANKLYN	PETER HERMAN GORDON	Toronto	24049V
FRASER	JEAN MARGARET	Toronto	16773S
FRIC	LAURA KATHLEEN	Toronto	36545Q
FRITH	KATHLEEN ANDRAYA CRESSEY	Toronto	40441G
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GELOWITZ	MARK ADAM	Toronto	31857J
GILCHRIST	DONALD GORDON	Toronto	24968P
GILLOTT	ROGER JACK	Toronto	37816L
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KELLY	COLIN JAMES	Toronto	60483L
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